

FORM PTO-1449

## INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.  
2066.0010009APPLICATION NO.  
10/677,480FIRST NAMED INVENTOR  
Mark G. GILBRETHFILING DATE  
October 3, 2003ART UNIT  
2838

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
<i>R</i>	AA1	2,864,244	12/1958	Prachar			
<i>R</i>	AB1	3,124,924	3/1964	Smith			
<i>R</i>	AC1	3,250,973	5/1966	Dawson			
<i>R</i>	AD1	3,569,809	3/1971	Comer			
<i>R</i>	AE1	3,591,844	7/1971	Schonebeck			
<i>R</i>	AF1	3,703,076	11/1972	Hagemeister			
<i>R</i>	AG1	3,724,214	4/1973	Bryant			
<i>R</i>	AH1	3,764,815	10/1973	Habock <i>et al.</i>			
<i>R</i>	AI1	3,809,914	5/1974	Kilgore <i>et al.</i>			
<i>R</i>	AJ1	3,829,758	8/1974	Studtmann			
<i>R</i>	AK1	3,937,974	2/1976	Lafuze			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
<i>R</i>	AL1	0 472 294	2/1992	EP			Yes No
<i>R</i>	AM1	0 679 800	11/1995	EP			Yes No
<i>R</i>	AN1	0 837 231	4/1998	EP			Yes No
<i>R</i>	AO1	0 901 218	3/1999	EP			Yes No
<i>R</i>	AP1	WO 94/27359	11/1994	WO			Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

<i>R</i>	AR	1	Japanese Patent Application Abstract entitled "Turbogenerator/Motor Control System"; filed May 13, 1999, Japanese Serial No. 133003/1999.
<i>R</i>	AS	1	Japanese Patent Application Abstract entitled "Command and Control System and Method for Multiple Turbogenerators", filed October 27, 1999, Japanese Serial No. 305375/1999.
<i>R</i>	AT	1	Japanese Patent Application Abstract entitled "Turbogenerator/Motor Controller", filed August 4, 1998, Japanese Serial No. 220231/1998.

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
<i>GR</i>	AA2	3,991,357	11/1976	Kaminski			
<i>GR</i>	AB2	4,005,581	2/1977	Aanstad			
<i>GR</i>	AC2	4,015,187	3/1977	Sasaki <i>et al.</i>			
<i>GR</i>	AD2	4,039,914	8/1977	Steigerwald <i>et al.</i>			
<i>GR</i>	AE2	4,093,869	6/1978	Hoffmann <i>et al.</i>			
<i>GR</i>	AF2	4,119,861	10/1978	Gocho			
<i>GR</i>	AG2	4,258,424	3/1981	Giras <i>et al.</i>			
<i>GR</i>	AH2	4,265,099	5/1981	Johnson <i>et al.</i>			
<i>GR</i>	AI2	4,292,534	9/1981	Diegel <i>et al.</i>			
<i>GR</i>	AJ2	4,340,820	7/1982	Meyer-Pittroff <i>et al.</i>			
<i>GR</i>	AK2	4,401,938	8/1983	Cronin			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
<i>GR</i>	AL2	WO 98/25014	6/1998	WO	<i>I</i>	<i>I</i>	Yes No
<i>GR</i>	AM2	WO 99/32762	7/1999	WO	<i>I</i>	<i>I</i>	Yes No
<i>GR</i>	AN2	WO 99/52193	10/1999	WO	<i>I</i>	<i>I</i>	Yes No
<i>GR</i>	AO2	WO 00/28191	5/2000	WO	<i>I</i>	<i>I</i>	Yes No
<i>GR</i>	AP2	6-108879	04/1994	Japan	<i>I</i>	<i>I</i>	Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	2	English Language Abstract for Japanese Patent 6-108879 (April 1994).
	AS	2	
	AT	2	

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*Ry-Lan*

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
<i>R</i>	AA3	4,442,385	4/1984	Van Sickle	<i>T</i>	<i>T</i>	<i>T</i>
<i>R</i>	AB3	4,471,229	9/1984	Plohn <i>et al.</i>			
<i>R</i>	AC3	4,481,459	11/1984	Mehl <i>et al.</i>			
<i>R</i>	AD3	4,498,551	2/1985	Arbisi			
<i>R</i>	AE3	4,551,980	11/1985	Bronicki			
<i>R</i>	AF3	4,560,364	12/1985	Cohen,			
<i>R</i>	AG3	4,565,957	1/1986	Gary <i>et al.</i>			
<i>R</i>	AH3	4,684,814	8/1987	Radomski			
<i>R</i>	AI3	4,709,318	11/1987	Gephart <i>et al.</i>			
<i>R</i>	AJ3	4,719,550	1/1988	Powell <i>et al.</i>			
<i>R</i>	AK3	4,730,397	3/1988	Weiford <i>et al.</i>			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL3						Yes No
	AM3						Yes No
	AN3						Yes No
	AO3						Yes No
	AP3						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>3</u>	
	AS	<u>3</u>	
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<i>R</i>	AA4	4,754,607	7/1988	Mackay			
<i>R</i>	AB4	4,757,686	7/1988	Kawamura <i>et al.</i>			
<i>R</i>	AC4	4,772,802	9/1988	Glennon <i>et al.</i>			
<i>R</i>	AD4	4,786,852	11/1988	Cook			
<i>R</i>	AE4	4,802,882	2/1989	Heidrich			
<i>R</i>	AF4	4,830,412	5/1989	Raad <i>et al.</i>			
<i>R</i>	AG4	4,833,887	5/1989	Kawamura <i>et al.</i>			
<i>R</i>	AH4	4,841,216	6/1989	Okada <i>et al.</i>			
<i>R</i>	AI4	4,862,009	8/1989	King			
<i>R</i>	AJ4	4,883,973	11/1989	Lahey <i>et al.</i>			
<i>R</i>	AK4	4,908,565	3/1990	Cook <i>et al.</i>			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL4						Yes No
	AM4						Yes No
	AN4						Yes No
	AO4						Yes No
	AP4						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	4	
	AS	4	
	AT	4	

EXAMINER

*R. J. L. L.*

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
<i>R</i>	AA5	4,939,441	7/1990	Dhyanchand	<i>T</i>	<i>T</i>	<i>T</i>
<i>R</i>	AB5	4,955,199	9/1990	Kawamura	<i>T</i>	<i>T</i>	<i>T</i>
<i>R</i>	AC5	4,967,096	10/1990	Diemer <i>et al.</i>	<i>T</i>	<i>T</i>	<i>T</i>
<i>R</i>	AD5	4,968,926	11/1990	Dhyanchand	<i>T</i>	<i>T</i>	<i>T</i>
<i>R</i>	AE5	4,982,569	1/1991	Bronicki	<i>T</i>	<i>T</i>	<i>T</i>
<i>R</i>	AF5	5,013,929	5/1991	Dhyanchand	<i>T</i>	<i>T</i>	<i>T</i>
<i>R</i>	AG5	5,015,941	5/1991	Dhyanchand	<i>T</i>	<i>T</i>	<i>T</i>
<i>R</i>	AH5	5,029,062	7/1991	Capel	<i>T</i>	<i>T</i>	<i>T</i>
<i>R</i>	AI5	5,038,566	8/1991	Hara	<i>T</i>	<i>T</i>	<i>T</i>
<i>R</i>	AJ5	5,055,764	10/1991	Rozman <i>et al.</i>	<i>T</i>	<i>T</i>	<i>T</i>
<i>R</i>	AK5	5,057,763	10/1991	Torii <i>et al.</i>	<i>T</i>	<i>T</i>	<i>T</i>

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL5						Yes No
	AM5						Yes No
	AN5						Yes No
	AO5						Yes No
	AP5						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>5</u>	
	AS	<u>5</u>	
	AT	<u>5</u>	

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*R. G. Gilbreth*

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	AA6	5,068,590	11/1991	Glennon <i>et al.</i>			
	AB6	5,088,286	2/1992	Muraji			
	AC6	5,097,195	3/1992	Raad <i>et al.</i>			
	AD6	5,115,183	5/1992	Kyoukane <i>et al.</i>			
	AE6	5,158,504	10/1992	Stocco			
	AF6	5,191,520	3/1993	Eckersley			
	AG6	5,214,371	5/1993	Naidu			
	AH6	5,237,260	8/1993	Takakado <i>et al.</i>			
	AI6	5,250,890	10/1993	Tanamachi <i>et al.</i>			
	AJ6	5,252,860	10/1993	McCarty <i>et al.</i>			
	AK6	5,260,637	11/1993	Pizzi			

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	AL6						Yes No
	AM6						Yes No
	AN6						Yes No
	AO6						Yes No
	AP6						Yes No

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<i>h</i>	AA7	5,281,905	1/1994	Dhyanchand <i>et al.</i>	<i>T</i>	<i>T</i>	<i>T</i>
<i>h</i>	AB7	5,291,106	3/1994	Murty <i>et al.</i>	<i>T</i>	<i>T</i>	<i>T</i>
<i>h</i>	AC7	5,309,081	5/1994	Shah <i>et al.</i>	<i>T</i>	<i>T</i>	<i>T</i>
<i>h</i>	AD7	5,325,043	6/1994	Parro	<i>T</i>	<i>T</i>	<i>T</i>
<i>h</i>	AE7	5,345,154	9/1994	King	<i>T</i>	<i>T</i>	<i>T</i>
<i>h</i>	AF7	5,363,032	11/1994	Hanson <i>et al.</i>	<i>T</i>	<i>T</i>	<i>T</i>
<i>h</i>	AG7	5,364,309	11/1994	Heidrich <i>et al.</i>	<i>T</i>	<i>T</i>	<i>T</i>
<i>h</i>	AH7	5,373,195	12/1994	De Doncker <i>et al.</i>	<i>T</i>	<i>T</i>	<i>T</i>
<i>h</i>	AI7	5,381,081	1/1995	Radun	<i>T</i>	<i>T</i>	<i>T</i>
<i>h</i>	AJ7	5,387,859	2/1995	Murugan <i>et al.</i>	<i>T</i>	<i>T</i>	<i>T</i>
<i>h</i>	AK7	5,404,092	4/1995	Gegner	<i>T</i>	<i>T</i>	<i>T</i>

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL7						Yes No
	AM7						Yes No
	AN7						Yes No
	AO7						Yes No
	AP7						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<i>Z</i>	
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R	AA8	5,406,797	4/1995	Kawamura	T	12	OT
R	AB8	5,428,275	6/1995	Carr et al.			
R	AC8	5,430,362	7/1995	Carr et al.			
R	AD8	5,477,091	12/1995	Fiorina et al.			
R	AE8	5,488,286	1/1996	Rozman et al.			
R	AF8	5,495,162	2/1996	Rozman et al.			
R	AG8	5,495,163	2/1996	Rozman et al.			
R	AH8	5,510,696	4/1996	Naidu et al.			
R	AI8	5,512,811	4/1996	Latos et al.			
R	AJ8	5,544,484	8/1996	Voss et al.			
R	AK8	5,545,090	8/1996	Kirschey			

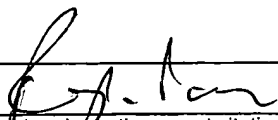
## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL8						Yes No
	AM8						Yes No
	AN8						Yes No
	AO8						Yes No
	AP8						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	8	
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<i>[Handwritten Initial]</i>	AA9	5,546,742	8/1996	Shekhawat <i>et al.</i>	<i>[Handwritten]</i>	<i>[Handwritten]</i>	<i>[Handwritten]</i>
<i>[Handwritten Initial]</i>	AB9	5,550,410	8/1996	Titus	<i>[Handwritten]</i>	<i>[Handwritten]</i>	<i>[Handwritten]</i>
<i>[Handwritten Initial]</i>	AC9	5,550,455	8/1996	Baker	<i>[Handwritten]</i>	<i>[Handwritten]</i>	<i>[Handwritten]</i>
<i>[Handwritten Initial]</i>	AD9	5,559,421	9/1996	Miyakawa	<i>[Handwritten]</i>	<i>[Handwritten]</i>	<i>[Handwritten]</i>
<i>[Handwritten Initial]</i>	AE9	5,563,802	10/1996	Plahn <i>et al.</i>	<i>[Handwritten]</i>	<i>[Handwritten]</i>	<i>[Handwritten]</i>
<i>[Handwritten Initial]</i>	AF9	5,568,023	10/1996	Grayer <i>et al.</i>	<i>[Handwritten]</i>	<i>[Handwritten]</i>	<i>[Handwritten]</i>
<i>[Handwritten Initial]</i>	AG9	5,572,108	11/1996	Windes	<i>[Handwritten]</i>	<i>[Handwritten]</i>	<i>[Handwritten]</i>
<i>[Handwritten Initial]</i>	AH9	5,581,168	12/1996	Rozman <i>et al.</i>	<i>[Handwritten]</i>	<i>[Handwritten]</i>	<i>[Handwritten]</i>
<i>[Handwritten Initial]</i>	AI9	5,587,647	12/1996	Bansal <i>et al.</i>	<i>[Handwritten]</i>	<i>[Handwritten]</i>	<i>[Handwritten]</i>
<i>[Handwritten Initial]</i>	AJ9	5,594,322	1/1997	Rozman <i>et al.</i>	<i>[Handwritten]</i>	<i>[Handwritten]</i>	<i>[Handwritten]</i>
<i>[Handwritten Initial]</i>	AK9	5,637,987	6/1997	Fattic <i>et al.</i>	<i>[Handwritten]</i>	<i>[Handwritten]</i>	<i>[Handwritten]</i>

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL9						Yes No
	AM9						Yes No
	AN9						Yes No
	AO9						Yes No
	AP9						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>9</u>	
	AS	<u>9</u>	
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<i>[Signature]</i>	AA10	5,646,458	7/1997	Bowyer <i>et al.</i>	<i>[Signature]</i>	<i>[Signature]</i>	<i>[Signature]</i>
<i>[Signature]</i>	AB10	5,656,915	8/1997	Eaves	<i>[Signature]</i>	<i>[Signature]</i>	<i>[Signature]</i>
<i>[Signature]</i>	AC10	5,743,227	4/1998	Jacquet <i>et al.</i>	<i>[Signature]</i>	<i>[Signature]</i>	<i>[Signature]</i>
<i>[Signature]</i>	AD10	5,767,637	6/1998	Lansberry	<i>[Signature]</i>	<i>[Signature]</i>	<i>[Signature]</i>
<i>[Signature]</i>	AE10	5,789,881	8/1998	Egami <i>et al.</i>	<i>[Signature]</i>	<i>[Signature]</i>	<i>[Signature]</i>
<i>[Signature]</i>	AF10	5,799,484	9/1998	Nims	<i>[Signature]</i>	<i>[Signature]</i>	<i>[Signature]</i>
<i>[Signature]</i>	AG10	5,819,524	10/1998	Bosley <i>et al.</i>	<i>[Signature]</i>	<i>[Signature]</i>	<i>[Signature]</i>
<i>[Signature]</i>	AH10	5,847,522	12/1998	Barba	<i>[Signature]</i>	<i>[Signature]</i>	<i>[Signature]</i>
<i>[Signature]</i>	AI10	5,903,116	5/1999	Geis <i>et al.</i>	<i>[Signature]</i>	<i>[Signature]</i>	<i>[Signature]</i>
<i>[Signature]</i>	AJ10	5,905,346	5/1999	Yamada <i>et al.</i>	<i>[Signature]</i>	<i>[Signature]</i>	<i>[Signature]</i>
<i>[Signature]</i>	AK10	5,929,537	7/1999	Glennon	<i>[Signature]</i>	<i>[Signature]</i>	<i>[Signature]</i>

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	AL10						Yes
							No
	AM10						Yes
							No
	AN10						Yes
							No
	AO10						Yes
							No
	AP10						Yes
							No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>10</u>	
	AS	<u>10</u>	
	AT	<u>10</u>	

EXAMINER

DATE CONSIDERED

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FORM PTO-1449  <b>INFORMATION DISCLOSURE STATEMENT</b>	ATTY. DOCKET NO. 2066.0010009	APPLICATION NO. 10/677,480
	FIRST NAMED INVENTOR Mark G. GILBRETH	
	FILING DATE October 3, 2003	ART UNIT 2838

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
<i>R</i>	AA11	5,936,312	8/1999	Koide <i>et al.</i>	<i>T</i>	<i>T</i>	<i>T</i>
<i>R</i>	AB11	5,939,794	8/1999	Sakai <i>et al.</i>	<i>T</i>	<i>T</i>	<i>T</i>
<i>R</i>	AC11	5,946,086	8/1999	Bruce	<i>T</i>	<i>T</i>	<i>T</i>
<i>R</i>	AD11	5,982,645	11/1999	Levrin <i>et al.</i>	<i>T</i>	<i>T</i>	<i>T</i>
<i>R</i>	AE11	5,998,976	12/1999	Steffan	<i>T</i>	<i>T</i>	<i>T</i>
<i>R</i>	AF11	6,005,297	12/1999	Sasaki <i>et al.</i>	<i>T</i>	<i>T</i>	<i>T</i>
<i>R</i>	AG11	6,020,713	2/2000	Geis <i>et al.</i>	<i>T</i>	<i>T</i>	<i>T</i>
<i>R</i>	AH11	6,023,135	2/2000	Gilbreth <i>et al.</i>	<i>T</i>	<i>T</i>	<i>T</i>
<i>R</i>	AI11	6,031,294	2/2000	Geis <i>et al.</i>	<i>T</i>	<i>T</i>	<i>T</i>
<i>R</i>	AJ11	6,051,951	4/2000	Arai <i>et al.</i>	<i>T</i>	<i>T</i>	<i>T</i>
<i>R</i>	AK11	6,054,776	4/2000	Sumi	<i>T</i>	<i>T</i>	<i>T</i>

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL11						Yes No
	AM11						Yes No
	AN11						Yes No
	AO11						Yes No
	AP11						Yes No

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

	AR	11	
	AS	11	
	AT	11	

EXAMINER <i>fg. bar</i>	DATE CONSIDERED <i>1/25/06</i>
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FORM PTO-1449

## INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.

2066.0010009

APPLICATION NO.

10/677,480

FIRST NAMED INVENTOR

Mark G. GILBRETH

FILING DATE

October 3, 2003

ART UNIT

2838

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
<i>f</i>	AA12	6,064,122	5/2000	McConnell	<i>T</i>	<i>T</i>	<i>A</i>
<i>f</i>	AB12	6,087,734	7/2000	Maeda <i>et al.</i>			
<i>f</i>	AC12	6,107,775	8/2000	Rice <i>et al.</i>			
<i>f</i>	AD12	6,147,414	11/2000	McConnell <i>et al.</i>			
<i>f</i>	AE12	6,153,942	11/2000	Roseman <i>et al.</i>			
<i>f</i>	AF12	6,169,332 B1	1/2001	Taylor <i>et al.</i>			
<i>f</i>	AG12	6,169,334 B1	1/2001	Edelman			
<i>f</i>	AH12	6,175,210 B1	1/2001	Schwartz <i>et al.</i>			
<i>f</i>	AI12	6,194,794 B1	2/2001	Lampe <i>et al.</i>			
<i>f</i>	AJ12	6,487,096	11/2002	Gilbreth <i>et al.</i>			
<i>f</i>	AK12	6,487,096 B1	11/2001	Bhargava			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL12						Yes
							No
	AM12						Yes
							No
	AN12						Yes
							No
	AO12						Yes
							No
	AP12						Yes
							No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	12	
	AS	12	
	AT	12	

EXAMINER

DATE CONSIDERED

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